CUSTOMER NUMBER 25268

COUNTRY OF CITIZENSHIP: US

UTILITY PATENT APPLICATION AND FEE TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

INCOMPLETE



Attorney Docket No. UNIV0216 Date: February 27, 2004

Inventor(s): Minoru Taya, Taishi Wada, Masahiro Kusaka, and Hsiuhung Chen

FULL NAME OF 1ST NAMED INVENTOR: Minoru Taya

Title DESIGN OF FERROMAGNETIC SHAPE MEMORY ALLOY COMPOSITES AND ACTUATORS INCORPORATING SUCH MATERIALS

RESIDENCE ADDRESS OF INVENTOR: 6825 93 rd Avenue SE, Mercer Island, WA 98040								
	ME OF 2 ^{ID} NAMED INVENTOR: ICE ADDRESS OF INVENTOR:	Taishi Wad		c	COUNTRY OF CITIZENSHIP:			
	ME OF 3 ⁹⁰ NAMED INVENTOR:_ CE ADDRESS OF INVENTOR:	Masahiro k Seattle, W.		COUNTRY OF CITIZENSHIP:				
	ME OF 4 TH NAMED INVENTOR: CE ADDRESS OF INVENTOR:		Chen enue NE #2B, Seatt		UNTRY OF CITIZENSHIP: Taiwan			
See MPEP	ATION ELEMENTS chapter 600 concerning utility patent Fee Transmittal (BELOW		ADDRESS TO:	Commissioner for Patents Mail Stop Patent Application Alexandria, VA 22313-1450				
2. <u>X</u>	_Applicant(s) claims	small entity	y status. See 37	C.F.R.§ 1.27.				
3. <u>X</u>	_Specification	[Total Pages_	56]					
4. <u>X</u>	_Drawing(s) (35 U.S.C. 113)	[Total Sheets_	28] (FORMAL)					
5Oath or Declaration [Total Pages] a Newly executed (original or copy) bCopy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Item 5 below] ii. DELETION OF INVENTOR(S)Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).								

6	Application Data Sheet. See 37 C.F.R. § 1.76							
7.	CD-ROM or CD-R in duplicated, large table or Computer Program (Appendix)							
	Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) omputer Readable Form (CRF) bSpecification Sequence Listing on:i. CD-ROM or CD-R (2 copies); ori. Paper cStatement verifying identity of above copies COMPANYING APPLICATION PARTS							
9	Assignment Papers (cover sheet & document(s))							
10.	37 C.F.R. § 3.73(b) Statement (when there is an assignee)Power of Attorney							
11	English Translation Document (if applicable)							
12	Information Disclosure Statement (IDS)/PTO-1449Copies of IDS Citations							
13	Preliminary Amendment							
14	X_Return Receipt Postcard (MPEP 503) (Should be itemized specifically)							
15	Certified Copy of Priority Document(s) (if foreign priority is claimed)							
	NONPUBLICATION REQUEST: Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach PTO/SB/35 or its equivalent.							
17	Other:							
18.	If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: Continuation Divisional Continuation-in-part (CIP) of prior application No:/							
FIIOI A	application information: Examiner: Group / Art Unit:							
	This application is based on two prior copending provisional applications, Serial No. 60/450,632,							
	filed on February 27, 2003, and Serial No. 60/450,633, filed on February 27, 2003, the benefits of							
	the filing dates of which are hereby claimed under 35 U.S.C. § 119(e).							

For CONTINUATION OR DIVISIONAL APPLICATIONS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein. This incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Correspondence address below:

Michael C. King LAW OFFICES OF RONALD M. ANDERSON 600 - 108th Avenue N.E., Suite 507 Bellevue, WA 98004

Telephone: 425-688-8816 Facsimile: 425-646-6314

FEE TRANSMITTAL										
METHOD OF PAYMENT			FEE CALCULATION (continued)							
	arge indica	ated fees and credit	3. ADDITIONAL FEES (Continued)							
any over payments to:										
Deposit Account Number			Fee Description	Fee Paid						
Deposit Account Name:Charge Any Additional Fee Required I	Indox 27 C	TED 1 14 9-1 17	Requesting publication of SIR after Examiner action							
Charge the Issue Fee Set in 37 CFR 1.1										
of Allowance			Extension for reply within first month							
2Payment Enclosed:			Extension for reply within second month							
	oney Order	r_Other	Extension for reply within third month							
XX Payment NOT Enclosed										
FEE CALCULATI	ON		Extension for reply within fourth month							
1. BASIC FILING FEE			Extension for reply within fifth month							
			Notice of Appeal							
Fee Description		Fee Paid	Filing a brief in support of an appeal							
Utility filing fe	e	\$ 385	Request for oral hearing							
Design filing fe	æ		Petition to institute a public use proceeding							
Plant filing fee			Petition to revive – unavoidable							
Reissue filing f			Petition to revive - unintentional							
Provisional filir	ng fee		Utility issue fee (or reissue)							
SUBTOTAL (1)	\$ 385	5	Design issue fee							
2. EXTRA CLAIM FEES	1		Plant issue fee							
Extra Claims Fee from	below F	ee Paid	Petitions to the Director							
Total Claims $-20 = x \$$	9 =	\$	Petitions related to provisional applications							
Independent Claims - 3 = x \$4		\$	Submission of Information Disclosure Statement							
Multiple Dependent -0- x \$145 = \$ -0-			Recording each patent assignment per property (times number							
SUBTOTAL (2)	<u> </u>	1	of properties) Submission after final rejection (37 C.F.R. 1.129(a))							
	\$		· · · · · · · · · · · · · · · · · · ·							
FEES (SUBTOTALS (1)+(2))	\$		Add'l invention to be examined (37 CFR 1.129(b))							
3. ADDITIONAL FEES			Other fee (specify)							
Surcharge - late filing fee or oath										
Surcharge - late provisional filing fee or cover sh	neet									
Non-English specification										
Request for reexamination	. —		SUBTOTAL (3)	\$						
Requesting publication of SIR after Examiner ac										
TOTAL FEES ENCLOSED: (1)+	(2)+(3)\$	40-)								
	7	1 1.								
Typed/Printed Name Michael C. Kling	1		Registration Number: 44	832						
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Signature	AMX		Date: February 27, 20	<u>)04</u>						
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EXPRESS MAIL CERTIFICATE										
Express Mail Label No. EV302883562US Date of Deposit: February 27, 2004										
hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to										
Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner for Patents,										
Alexandria, Virginia 22313-1450.										
Kothy Douline			TOTA VAILLE							
Kathy Paulino			Signature of the state of the s							
(Name of person mailing paper/fee)		(Signature of person mailing paper or fee)							